## JASS 2008

St. Petersburg, March 9th, 2008

# A quantum control algorithm: Models and theory 

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## Outline

Introduction

Physics

## Nuclear magnetic resonance

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## Quantum computing

## Computing

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## Control

Quantum control plays a key role in quantum technology, as quantum gates aren't hardwired as in traditional chips, but sophisticated manipulations of quantum systems.

## What is not possible

## Complexity

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Figure: Illustration of prominent problem classes

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- BQP: The class of problems a quantum computer can solve in polynomial time with an error propability of less than $1 / 4$.
- It is known, that $P \subseteq B Q P$.
- Though $B Q P$ is a subset of $N P$, it is not known if it is a true subset.
- Proof that $B Q P \subsetneq N P$ would yield that $P \neq N P$ and therefore solve the $P=N P$ problem.


Figure: Illustration of prominent problem classes

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## Outline

## Physics <br> Classic mechanics <br> Quantum Mechanics <br> Spin <br> Coupled Spins

## Classic mechanics

## Newton and Lagrange

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## Hamilton

Hamilton has shown that the Lagrange equation is equivalent to this system of two partial differential equations:

- $\dot{p}=-\frac{\partial H}{\partial x}$
- $\dot{x}=\frac{\partial H}{\partial p}$

With $p$ being the momentum $p=m \cdot \dot{x}$ and
$H=\frac{1}{2} m \dot{x}^{2}+V(x)=\frac{p^{2}}{2 m}+V(x)$ being the energy of the system.

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## Classic mechanics

## Quantum Mechanics

## Spin <br> Coupled Spins

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The wave function

- In classical physics, $x(t)$ is a function which describes the trajectory of a mass point exactly.
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Classical functions become operators on the wave function whose eigenvalues are the observable values. In position space, this yields $x \rightarrow \hat{x}, p \rightarrow-i \hbar \nabla$ and $E \rightarrow i \hbar \partial_{t}$.

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## The Schrödinger equation

Applied to the Hamilton equation this yields the Schrödinger equation $\left(-\frac{\hbar^{2}}{2 m} \nabla^{2}+\hat{V}(x)\right) \Psi(x, t)=i \hbar \partial_{t} \Psi(x, t)$

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## Explanation

- Electrons have an own attribute we call spin
- This is correlated with a magnetic dipole moment
- Spin is not angular momentum
- The Schrödinger equation does not directly inhibit spin. To save us from relativistics, we apply it as a hack


## The Spin Operator

## The spin operator

- Let $z$ be the distinguished axis. From the Stern-Gerlach experiment we know that the eigenvalues of $\hat{S}_{z}$ have to be $\pm \frac{\hbar}{2}$.


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- Therefore we can write the spin state of our electron as a complex linear combination of these two vectors.

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\binom{\alpha}{\beta}=\alpha|\uparrow\rangle+\beta|\downarrow\rangle \in \mathbb{C}^{2}
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- Because $|\alpha|^{2}$ equals the propability of finding $|\uparrow\rangle$ in an experiment and $|\beta|^{2}$ equals the propability of finding $|\downarrow\rangle$, the normation condition is $|\alpha|^{2}+|\beta|^{2}=1$.


## The Pauli spin matrices

## From vector to matrix

- In analogy to classic angular momentum, the spin operator has to satisfy $\left[\hat{S}_{x}, \hat{S}_{y}\right]=i \hbar \hat{S}_{z}$ and cyclical with $[A, B]:=A B-B A$ being the commutator.


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- The spin operators in the three dimensions can be written as matrices:

$$
\sigma_{x}=\left(\begin{array}{cc}
0 & 1 \\
1 & 0
\end{array}\right) \quad \sigma_{y}=\left(\begin{array}{cc}
0 & -i \\
i & 0
\end{array}\right) \quad \sigma_{z}=\left(\begin{array}{cc}
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- We can test our commutator relation from above:

$$
\left[\hat{S}_{x}, \hat{S}_{y}\right]=\frac{\hbar^{2}}{4}\left(\left(\begin{array}{cc}
0 & 1 \\
1 & 0
\end{array}\right)\left(\begin{array}{cc}
0 & -i \\
i & 0
\end{array}\right)-\left(\begin{array}{cc}
0 & -i \\
i & 0
\end{array}\right)\left(\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right)\right)
$$

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## Physics

## Classic mechanics Quantum Mechanics Spin <br> Coupled Spins

## Coupled systems

## The Kronecker tensorproduct

- In order to couple two spins in one system, one has to calculate the kronecker product of these two systems. Therefore we yield $2^{2}=4$ new basis vectors:

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\begin{align*}
& |\uparrow\rangle \otimes|\uparrow\rangle=:|\uparrow \uparrow\rangle  \tag{1}\\
& |\uparrow\rangle \otimes|\downarrow\rangle=:|\uparrow \downarrow\rangle  \tag{2}\\
& |\downarrow\rangle \otimes|\uparrow\rangle=:|\downarrow \uparrow\rangle  \tag{3}\\
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- In general, one can couple $n$ spins by producing the kronecker product of all basis vectors, yielding $2^{n}$ basic states.


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\hat{V}=\mu \hat{S}^{(1)} \circ \hat{S}^{(2)}=\mu\left(\hat{S}_{z}^{(1)} \otimes \hat{S}_{z}^{(2)}+\frac{1}{2}\left(\hat{S}_{+}^{(1)} \otimes \hat{S}_{-}^{(2)}+\hat{S}_{-}^{(1)} \otimes \hat{S}_{+}^{(2)}\right)\right)
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$$

- With $\mu$ being a constant and $\hat{S}_{ \pm}=\hat{S}_{x} \pm i \hat{S}_{y}$ with the attributes

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\begin{array}{lr}
\hat{S}_{+}|\uparrow\rangle=0 & \hat{S}_{+}|\downarrow\rangle=\hbar|\uparrow\rangle \\
\hat{S}_{-}|\uparrow\rangle=\hbar|\downarrow\rangle & \hat{S}_{-}|\downarrow\rangle=0 \tag{6}
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- We can describe the complete potential of a system by a hermitian $2^{n} \times 2^{n}$ matrix with vanishing trace.


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## Nuclear magnetic resonance preposition <br> Some Physics The GRAPE algorithm

## Nuclear magnetic resonance

## NMR

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Figure: 900 MHz , 21.2 T NMR Magnet at HWB-NMR, Birmingham, UK; credit: wikipedia

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## Nuclear magnetic resonance

## NMR

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## Technical challenges

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- Energy relaxation: The system returns to the ground state, the qubits are erased.
- Decoherence: The superposition of the spins is destroyed by interaction with the environment ("'super selection rule"')


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## NMR and the Schrödinger equation

We remember

$$
\hat{H} \Psi(x, t)=\left(-\frac{\hbar^{2}}{2 m} \nabla^{2}+\hat{V}(x)\right) \Psi(x, t)=i \hbar \partial_{t} \Psi(x, t)
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- This is a $2^{n} \times 2^{n}$ matrix which can be diagonalised. In the following, we will refer to this diagnoalised matrix as $H_{d}$


## The control term (1)

## How to control our system

Previously we stated that the spin system can be controlled by external magnetic fields. In our formal model this can be read as application of the $\hat{S}_{ \pm}$operators on single spins.


Figure: Induced spinflips in a two particle system: red is $\mathbb{1}_{2} \otimes \hat{S}_{+}$and blue is $\hat{S}_{+} \otimes \mathbb{1}_{2}$.

## The control term (2)

## In general

For $n$ spins which can be separatley influenced, the controlled potential is

$$
\hat{V}_{c}=\sum_{k=0}^{n-1}\left(a_{k} \cdot \mathbb{1}_{2^{k}} \otimes \sigma_{x} \otimes \mathbb{1}_{2^{n-k-q}}+b_{k} \cdot \mathbb{1}_{2^{k}} \otimes \sigma_{y} \otimes \mathbb{1}_{2^{n-k-q}}\right)
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Which we will call $H_{c}$.

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## Recursion

One can build the matrix of $H_{c}$ for $n$ particles using the following recursion:

$$
A_{n+1}=\left(\begin{array}{cc}
A_{n} & \mathbb{1}_{2^{n}} \\
\mathbb{1}_{2^{n}} & A_{n}
\end{array}\right)
$$

With $A_{0}=(0)$ being the matrix for zero particles.

## Eye candy



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## A solution for the Schrödinger equation

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- With the matrix exponential function $e^{\hat{H}}=\sum_{k=0}^{\infty} \frac{\hat{H}^{k}}{k!}$
- Our Hamiltonian was: $\hat{H}=H_{d}+H_{c}\left(a_{1}(t), b_{1}(t), \ldots\right)=$ $H_{d}+H_{c}\left(u_{1}(t), \ldots\right)=H_{d}+\sum_{j} H_{j}(t)$ With $H_{j}(t)$ piecewise constant on $t+\Delta t$


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- So in our case the solution is:
$\Psi(t)=e^{-i \Delta t \hat{H}\left(t_{k}\right)} e^{-i \Delta t \hat{H}\left(t_{k-1}\right)} \cdots e^{-i \Delta t \hat{H}\left(t_{1}\right)} \Psi(0)=: U(t) \Psi(0)$ With $k \Delta t=t$


## Quantum gate construction

## Problem description

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## The GRAPE algorithm

It can be shown that maximising $\Re \operatorname{tr}\left(U_{G}^{\dagger} U(T)\right)$ subject to $\partial_{t} U(t)=-i \hat{H} U(t)$ optimizes the propagator.

## GRAPE

1. Set initial controls $u_{j}^{(r)}\left(t_{k}\right)$ for all times $t_{k}(k \in\{1,2, \ldots, M\})$ at random or by guess
2. For each $k \in\{1, \ldots, M\}$ do:
2.1 Calculate the forward-propagation

$$
U\left(t_{k}\right)=e^{-i \Delta t \hat{H}\left(t_{k}\right)} e^{-i \Delta t \hat{H}\left(t_{k-1}\right)} \cdots e^{-i \Delta t \hat{H}\left(t_{1}\right)}
$$

2.2 Calculate the backward-propagation

$$
\Lambda\left(t_{k}\right)=e^{-i \Delta t \hat{H}\left(t_{k}\right)} e^{-i \Delta t \hat{H}\left(t_{k+1}\right)} \cdots e^{-i \Delta t \hat{H}\left(t_{M}\right)}
$$

2.3 Update $u_{j}^{(r+1)}\left(t_{k}\right)=u_{j}^{(r)}\left(t_{k}\right)+\varepsilon \Re\left(\operatorname{tr}\left(\Lambda^{\dagger}\left(t_{k}\right)\left(-i \hat{H}_{j}\right) U\left(t_{k}\right)\right)\right)$
3. Return to step 2 with the new controls $u_{j}^{(r+1)}$

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U\left(t_{k}\right)=e^{-i \Delta t \hat{H}\left(t_{k}\right)} e^{-i \Delta t \hat{H}\left(t_{k-1}\right)} \cdots e^{-i \Delta t \hat{H}\left(t_{1}\right)}
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- One has to calculate the trace $\operatorname{tr}\left\{\left(U_{k} U_{k+1} \cdots U_{M}\right)\left(-i \hat{H}_{j}\right)\left(U_{k} U_{k-1} \cdots U_{1}\right)\right\} \forall j, k$


## Conclusion

- The Schrödinger equation:

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- This leads to some numerical challenges thus as calculating a matrix exponential as well as producing the product of many matrices


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Let $V$ be a vector space over a field $F$ with a binary operation $[\cdot, \cdot]$

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- Jacobi Identity: $[x,[y, z]]+[y,[z, x]]+[z,[x, y]]=0$
$\forall x, y, z \in V, \forall \lambda \in F$
Then $V$ is a Lie algebra.


## Lie algebra (2)

## Examples

- The well-known $\mathbb{R}^{3}$ with the cross product.
- Our previously defined Pauli-Matrices.


## Kronecker product (1)

## Definition

Let $A \in \mathcal{C}^{m \times n}, B \in \mathcal{C}^{r \times s}$. Then the Kronecker product $A \otimes B \in \mathcal{C}^{m r \times n s}$ of $A$ and $B$ is defined as:

$$
A \otimes B=\left(\begin{array}{ccc}
a_{11} & \cdots & a_{1 n} \\
\vdots & \ddots & \vdots \\
a_{m 1} & \cdots & a_{m n}
\end{array}\right) \otimes B:=\left(\begin{array}{ccc}
a_{11} B & \cdots & a_{1 n} B \\
\vdots & \ddots & \vdots \\
a_{m 1} B & \cdots & a_{m n} B
\end{array}\right)
$$

## Attributes (1)

- Bilinearity:
- $A \otimes(B+C)=A \otimes B+A \otimes C$
- $(A+B) \otimes C=A \otimes C+B \otimes C$
- $\lambda(A \otimes B)=(\lambda A) \otimes B=A \otimes(\lambda B)$
- associativity: $A \otimes(B \otimes C)=(A \otimes B) \otimes C$


## Kronecker product (2)

## Attributes (2)

- transposition: $(A \otimes B)^{T}=A^{T} \otimes B^{T}$
- $\forall A, B \in \mathbb{C}^{n \times n}, C, D \in \mathbb{C}^{m \times m}:(A B) \otimes(C D)=(A \otimes C)(B \otimes D)$
- The kronecker product of diagonal matrices is a diagonal matrix
- $\mathbb{1}_{2 q}=\underbrace{\mathbb{1}_{2} \otimes \cdots \otimes \mathbb{1}_{2}}_{\mathrm{q} \text { times }}$
- $\operatorname{tr}(A \otimes B)=\operatorname{tr}(A) \cdot \operatorname{tr}(B)$


## Drift-Term

## Two spin system

$$
\begin{gathered}
\left|\Psi_{1}\right\rangle:=|\uparrow \uparrow\rangle \quad\left|\Psi_{2}\right\rangle:=|\uparrow \downarrow\rangle \quad\left|\Psi_{3}\right\rangle:=|\downarrow \uparrow\rangle \quad\left|\Psi_{4}\right\rangle:=|\downarrow \downarrow\rangle \\
\hat{H}_{d}=\hat{S}_{z}^{(1)} \otimes \hat{S}_{z}^{(2)}+\frac{1}{2}\left(\hat{S}_{+}^{(1)} \otimes \hat{S}_{-}^{(2)}+\hat{S}_{-}^{(1)} \otimes \hat{S}_{+}^{(2)}\right)
\end{gathered}
$$

## Non-diagonalised Hamiltonian for two-spin system

$$
\hat{H}_{d}=\frac{\hbar^{2}}{4}\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & -1 & 2 & 0 \\
0 & 2 & -1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

Reference: Myself, so it could be faulty.

## Shor's Algorithm (1)

Factorize a number $n$

1. Pick random $1<x<n$
2. If $\operatorname{gcd}(x, n)>1 \rightarrow$ success
3. Use the period-finding subroutine to find $r$, the period of $f(v)=x^{\nu} \bmod n$ i.e. the smallest integer $r$ for which $f(v+r)=f(v)$ (quantum stuff here)
4. If $r$ is odd $\rightarrow$ go back to step 1
5. If $x^{\frac{r}{2}}=-1 \bmod n \rightarrow$ go back to step 1
6. $\operatorname{gcd}\left(x^{k}-1, n\right)$ is a nontrivial factor of $n$. success

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## Shor's Algorithm (2)

## Period finding subroutine

You will need at least $Q$ qubits, where $n^{2} \leq Q<2 n^{2}$.

1. Initialize the qubits to $Q^{-\frac{1}{2}} \sum_{x=0}^{Q-1}|x\rangle|0\rangle$
2. Construct $f(x)$ as a quantum function and apply it to the state, to obtain

$$
Q^{-\frac{1}{2}} \sum_{x}|x\rangle|f(x)\rangle
$$

3. Apply the quantum Fourier transform to get the final state

$$
Q^{-1} \sum_{x} \sum_{y} \omega^{x y}|y\rangle|f(x)\rangle
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4. Perform a measurement. We obtain an equally distributed multiple of $f(x) / r$.
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## Stern Gerlach experiment



