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- worst case; no advantage for easy inputs
- additional memory required
- complicated implementation

- after access, an element is moved to the root; splay(x) repeated accesses are faster
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- read-operation change the tree



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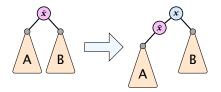
find(x)

- search for x according to a search tree
- let \bar{x} be last element on search-path
- splay(\bar{x})



insert(x)

- search for x; x̄ is last visited element during search (successer or predecessor of x)
- splay(\bar{x}) moves \bar{x} to the root
- insert x as new root

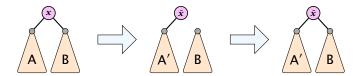




7.3 Splay Trees

delete(x)

- search for x; splay(x); remove x
- search largest element \bar{x} in A
- splay(\bar{x}) (on subtree A)
- connect root of *B* as right child of \bar{x}

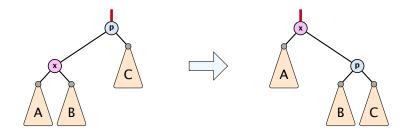




7.3 Splay Trees

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Move to Root



How to bring element to root?

- one (bad) option: moveToRoot(x)
- iteratively do rotation around parent of x until x is root
- ▶ if *x* is left child do right rotation otw. left rotation



Splay: Zig Case

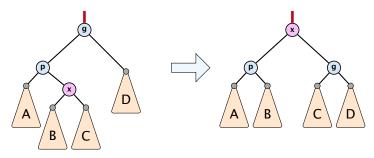


better option splay(x):

zig case: if x is child of root do left rotation or right rotation around parent



Splay: Zigzag Case

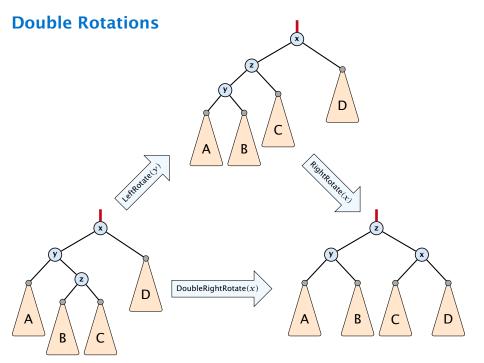


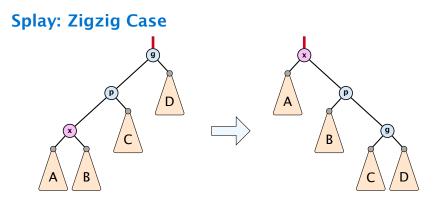
better option splay(x):

- zigzag case: if x is right child and parent of x is left child (or x left child parent of x right child)
- do double right rotation around grand-parent (resp. double left rotation)



7.3 Splay Trees

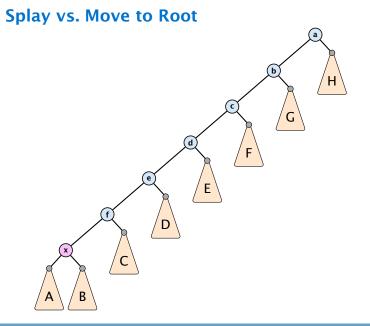




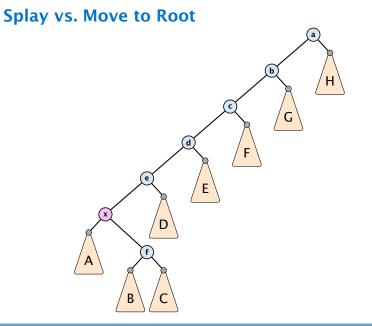
better option splay(x):

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- do right roation around grand-parent followed by right rotation around parent (resp. left rotations)











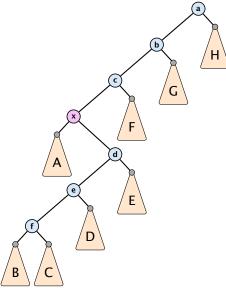
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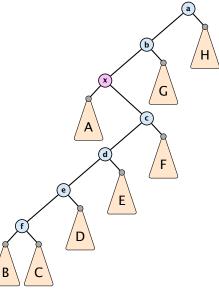
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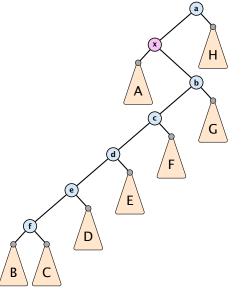
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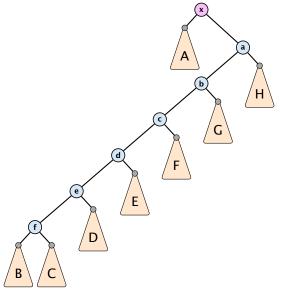
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7.3 Splay Trees

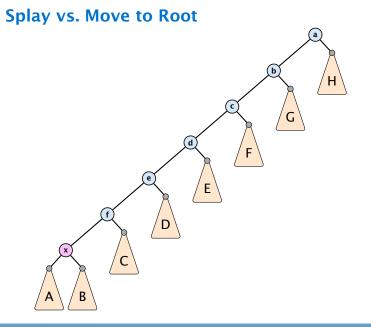
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7.3 Splay Trees

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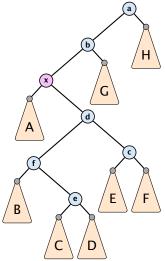


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7.3 Splay Trees

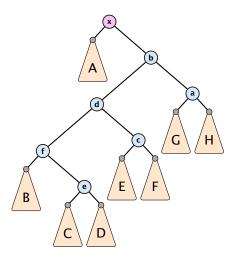
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7.3 Splay Trees

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7.3 Splay Trees

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Static Optimality

Suppose we have a sequence of m find-operations. find(x) appears h_x times in this sequence.

The cost of a static search tree *T* is:

$$cost(T) = m + \sum_{x} h_x \operatorname{depth}_T(x)$$

The total cost for processing the sequence on a splay-tree is $O(cost(T_{min}))$, where T_{min} is an optimal static search tree.



Dynamic Optimality

Let S be a sequence with m find-operations.

Let *A* be a data-structure based on a search tree:

- the cost for accessing element x is 1 + depth(x);
- after accessing x the tree may be re-arranged through rotations;

Conjecture:

A splay tree that only contains elements from *S* has cost O(cost(A, S)), for processing *S*.



Lemma 1

Splay Trees have an amortized running time of $O(\log n)$ for all operations.



Amortized Analysis

Definition 2

A data structure with operations $op_1(), \ldots, op_k()$ has amortized running times t_1, \ldots, t_k for these operations if the following holds.

Suppose you are given a sequence of operations (starting with an empty data-structure) that operate on at most n elements, and let k_i denote the number of occurences of $op_i()$ within this sequence. Then the actual running time must be at most $\sum_i k_i \cdot t_i(n)$.



Introduce a potential for the data structure.



7.3 Splay Trees

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• $\Phi(D_i)$ is the potential after the *i*-th operation.



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 $\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1}) \ . \label{eq:ci}$



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$$\sum_{i=1}^{\kappa} c_i$$

1.



7.3 Splay Trees

Potential Method

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• Show that $\Phi(D_i) \ge \Phi(D_0)$.

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$$\sum_{i=1}^k c_i \leq \sum_{i=1}^k c_i + \Phi(D_k) - \Phi(D_0)$$



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• Show that $\Phi(D_i) \ge \Phi(D_0)$.

Then

$$\sum_{i=1}^{k} c_i \le \sum_{i=1}^{k} c_i + \Phi(D_k) - \Phi(D_0) = \sum_{i=1}^{k} \hat{c}_i$$

This means the amortized costs can be used to derive a bound on the total cost.



Stack

- S. push()
- ▶ S. pop()
- S. multipop(k): removes k items from the stack. If the stack currently contains less than k items it empties the stack.
- The user has to ensure that pop and multipop do not generate an underflow.

Actual cost:

- ▶ *S*.push(): cost 1.
- ► *S*.pop(): cost 1.
- S. multipop(k): cost min{size, k} = k.



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Use potential function $\Phi(S)$ = number of elements on the stack.

Amortized cost:

Cost Cost Cost

S. pop(): cost

Samultipop(k): cost



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Amortized cost:

S. push(): cost

 $\hat{C}_{\text{push}} = C_{\text{push}} + \Delta \Phi = 1 + 1 \le 2$.

► *S*.pop(): cost $\hat{C}_{pop} = C_{pop} + \Delta \Phi = 1 - 1 \le 0$

S. multipop(k): cost

 $\hat{C}_{\rm mp} = C_{\rm mp} + \Delta \Phi = \min\{\text{size}, k\} - \min\{\text{size}, k\} \le 0$.



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Consider a computational model where each bit-operation costs one time-unit.

Incrementing an n-bit binary counter may require to examine n-bits, and maybe change them.

Actual cost:

- Changing bit from 0 to 1: cost 1.
- Changing bit from 1 to 0: cost 1.
- Increment: cost is k + 1, where k is the number of consecutive ones in the least significant bit-positions (e.g, 001101 has k = 1).



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Amortized cost:

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Hence, the amortized cost is killing without 2.2

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Amortized cost:

Changing bit from 0 to 1:

$$\hat{C}_{0\to 1} = C_{0\to 1} + \Delta \Phi = 1 + 1 \le 2$$
.

• Changing bit from 1 to 0:

$$\hat{C}_{1\to 0} = C_{1\to 0} + \Delta \Phi = 1 - 1 \le 0$$
.

Increment: Let k denotes the number of consecutive ones in the least significant bit-positions. An increment involves k (1 → 0)-operations, and one (0 → 1)-operation.

Hence, the amortized cost is $k\hat{C}_{1\to 0} + \hat{C}_{0\to 1} \le 2$.

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Splay Trees

potential function for splay trees:

- size $s(x) = |T_x|$
- rank $r(x) = \log_2(s(x))$
- $\Phi(T) = \sum_{v \in T} r(v)$

amortized cost = real cost + potential change

The cost is essentially the cost of the splay-operation, which is 1 plus the number of rotations.





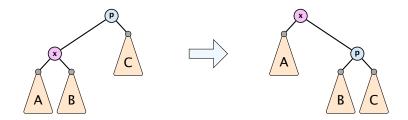
$$\begin{split} \Delta \Phi &= r'(x) + r'(p) - r(x) - r(p) \\ &= r'(p) - r(x) \\ &\leq r'(x) - r(x) \end{split}$$

 $\operatorname{cost}_{\mathsf{zig}} \le 1 + 3(r'(x) - r(x))$



7.3 Splay Trees

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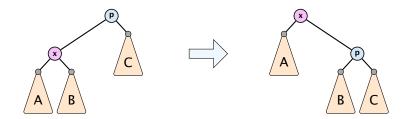
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$$\Delta \Phi = \mathbf{r}'(\mathbf{x}) + \mathbf{r}'(p) - \mathbf{r}(\mathbf{x}) - \mathbf{r}(p)$$
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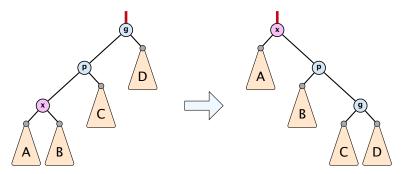
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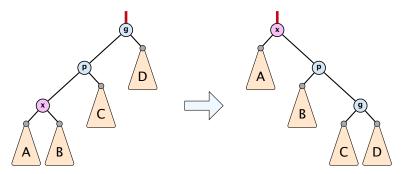
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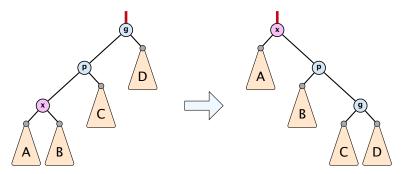
 $\Delta \Phi = r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g)$

- = r'(p) + r'(g) r(x) r(p)
- $\leq r'(x) + r'(g) r(x) r(x)$
- = r'(x) + r'(g) + r(x) 3r'(x) + 3r'(x) r(x) 2r(x)
- = -2r'(x) + r'(g) + r(x) + 3(r'(x) r(x))
- $a \leq -2 + 3(r'(x) r(x)) \Rightarrow \operatorname{cost}_{\operatorname{zigzig}} \leq 3(r'(x) r(x))$



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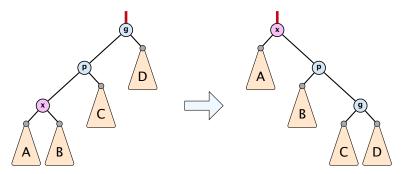
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 $\leq r'(x) + r'(g) - r(x) - r(x)$

= r'(x) + r'(g) + r(x) - 3r'(x) + 3r'(x) - r(x) - 2r(x)

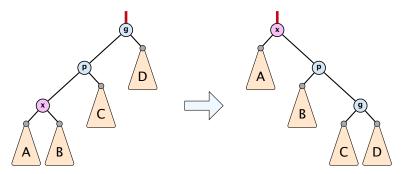
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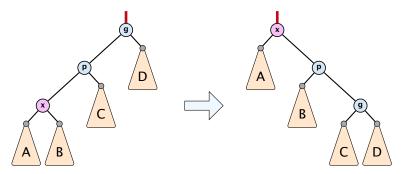


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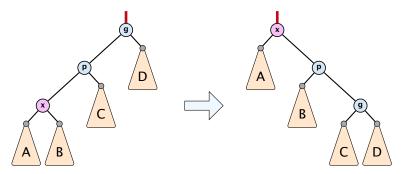
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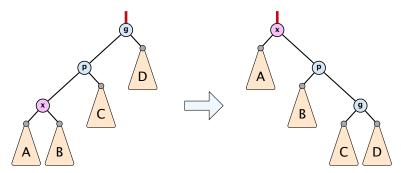
 $\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(x) + r'(g) - r(x) - r(x) \\ &= r'(x) + r'(g) + r(x) - 3r'(x) + 3r'(x) - r(x) - 2r(x) \\ &= -2r'(x) + r'(g) + r(x) + 3(r'(x) - r(x)) \\ &\leq -2 + 3(r'(x) - r(x)) \Rightarrow \operatorname{cost_{zlazia}} \leq 3(r'(x) - r(x)) \end{aligned}$



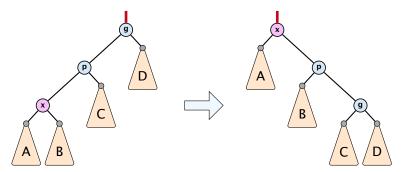
$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(x) + r'(g) - r(x) - r(x) \\ &= r'(x) + r'(g) + r(x) - 3r'(x) + 3r'(x) - r(x) - 2r(x) \\ &= -2r'(x) + r'(g) + r(x) + 3(r'(x) - r(x)) \\ &\leq -2 + 3(r'(x) - r(x)) \Rightarrow \operatorname{cost_{locid}} \leq 3(r'(x) - r(x)) \end{aligned}$$



$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(x) + r'(g) - r(x) - r(x) \\ &= r'(x) + r'(g) + r(x) - 3r'(x) + 3r'(x) - r(x) - 2r(x) \\ &= -2r'(x) + r'(g) + r(x) + 3(r'(x) - r(x)) \\ &\leq -2 + 3(r'(x) - r(x)) \implies \operatorname{cost_{2lagled}} \leq 3(r'(x) - r(x)) \end{aligned}$$



$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(x) + r'(g) - r(x) - r(x) \\ &= r'(x) + r'(g) + r(x) - 3r'(x) + 3r'(x) - r(x) - 2r(x) \\ &= -2r'(x) + r'(g) + r(x) + 3(r'(x) - r(x)) \\ &\leq -2 + 3(r'(x) - r(x)) \quad \Rightarrow \operatorname{cost_{zigzig}} \leq 3(r'(x) - r(x)) \end{aligned}$$

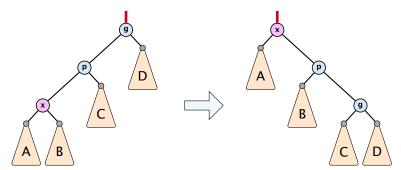


$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

$$= \frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$$

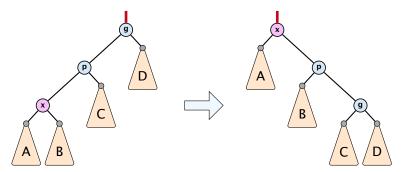
$$= \frac{1}{2} \log\left(\frac{s(x)}{s'(x)}\right) + \frac{1}{2} \log\left(\frac{s'(g)}{s'(x)}\right)$$

$$\leq \log\left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)}\right) \leq \log\left(\frac{1}{2}\right) = -1$$



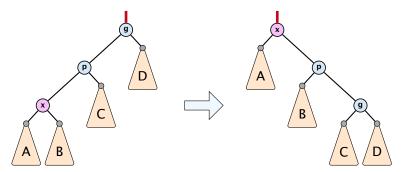
$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

= $\frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$
= $\frac{1}{2} \log\left(\frac{s(x)}{s'(x)}\right) + \frac{1}{2} \log\left(\frac{s'(g)}{s'(x)}\right)$
 $\leq \log\left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)}\right) \leq \log\left(\frac{1}{2}\right) = -1$



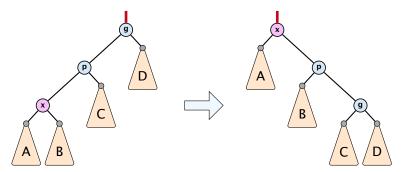
$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

= $\frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$
= $\frac{1}{2} \log \left(\frac{s(x)}{s'(x)} \right) + \frac{1}{2} \log \left(\frac{s'(g)}{s'(x)} \right)$
 $\leq \log \left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)} \right) \leq \log \left(\frac{1}{2} \right) = -1$



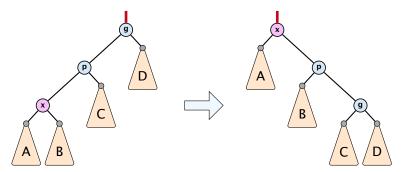
$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

= $\frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$
= $\frac{1}{2} \log \left(\frac{s(x)}{s'(x)} \right) + \frac{1}{2} \log \left(\frac{s'(g)}{s'(x)} \right)$
 $\leq \log \left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)} \right) \leq \log \left(\frac{1}{2} \right) = -1$



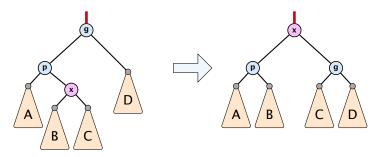
$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

= $\frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$
= $\frac{1}{2} \log \left(\frac{s(x)}{s'(x)} \right) + \frac{1}{2} \log \left(\frac{s'(g)}{s'(x)} \right)$
 $\leq \log \left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)} \right) \leq \log \left(\frac{1}{2} \right) = -1$



$$\frac{1}{2} \left(r(x) + r'(g) - 2r'(x) \right)$$

= $\frac{1}{2} \left(\log(s(x)) + \log(s'(g)) - 2\log(s'(x)) \right)$
= $\frac{1}{2} \log \left(\frac{s(x)}{s'(x)} \right) + \frac{1}{2} \log \left(\frac{s'(g)}{s'(x)} \right)$
 $\leq \log \left(\frac{1}{2} \frac{s(x)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)} \right) \leq \log \left(\frac{1}{2} \right) = -1$

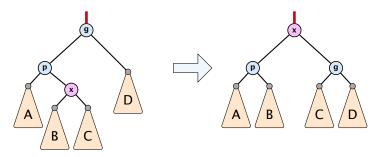


 $\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(p) + r'(g) - r(x) - r(x) \\ &= r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x) \\ &\leq -2 + 2(r'(x) - r(x)) \quad \Rightarrow \operatorname{cost_{zigzag}} \leq 3(r'(x) - r(x)) \end{aligned}$



7.3 Splay Trees

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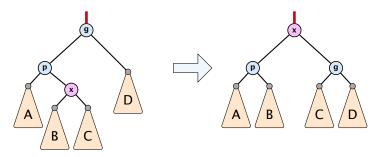


 $\Delta \Phi = r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g)$ = r'(p) + r'(g) - r(x) - r(p) $\leq r'(p) + r'(g) - r(x) - r(x)$ = r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x) $\leq -2 + 2(r'(x) - r(x)) \Rightarrow \cos t_{zidzad} \leq 3(r'(x) - r(x))$

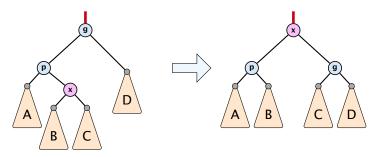


7.3 Splay Trees

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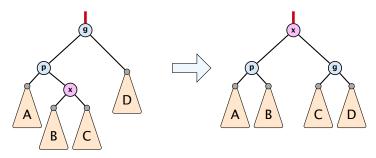






$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(p) + r'(g) - r(x) - r(x) \\ &= r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x) \\ &\leq -2 + 2(r'(x) - r(x)) \\ &\Rightarrow \operatorname{cost_{ziozad}} \leq 3(r'(x) - r(x)) \end{aligned}$$

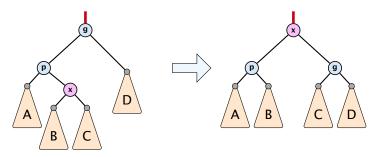




$$\Delta \Phi = r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g)$$

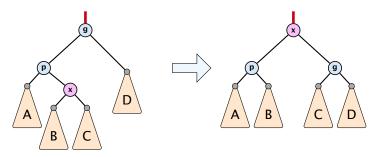
= $r'(p) + r'(g) - r(x) - r(p)$
 $\leq r'(p) + r'(g) - r(x) - r(x)$
= $r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x)$
 $\leq -2 + 2(r'(x) - r(x)) = \text{Costainant} \leq 3(r'(x) - r(x))$





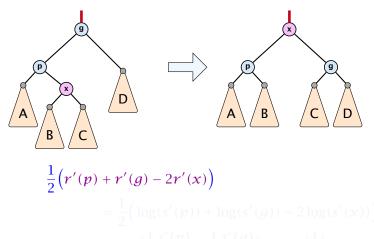
$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(p) + r'(g) - r(x) - r(x) \\ &= r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x) \\ &\leq -2 + 2(r'(x) - r(x)) \implies \text{cost}_{\text{zlogag}} \leq 3(r'(x) - r(x)) \end{aligned}$$





$$\begin{aligned} \Delta \Phi &= r'(x) + r'(p) + r'(g) - r(x) - r(p) - r(g) \\ &= r'(p) + r'(g) - r(x) - r(p) \\ &\leq r'(p) + r'(g) - r(x) - r(x) \\ &= r'(p) + r'(g) - 2r'(x) + 2r'(x) - 2r(x) \\ &\leq -2 + 2(r'(x) - r(x)) \quad \Rightarrow \operatorname{cost_{zigzag}} \leq 3(r'(x) - r(x)) \end{aligned}$$



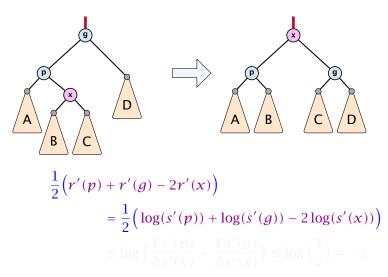


 $\leq \log\left(\frac{1}{2}\frac{s(p)}{s'(x)} + \frac{1}{2}\frac{s(g)}{s'(x)}\right) \leq \log t$



7.3 Splay Trees

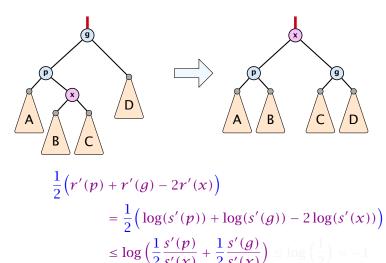
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7.3 Splay Trees

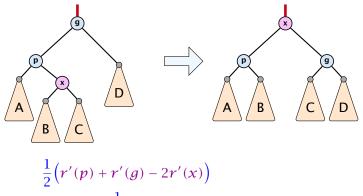
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7.3 Splay Trees

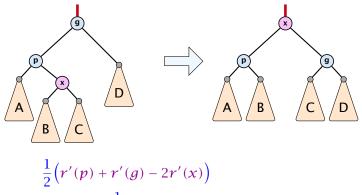
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$$= \frac{1}{2} \Big(\log(s'(p)) + \log(s'(g)) - 2\log(s'(x)) \Big)$$

$$\leq \log \Big(\frac{1}{2} \frac{s'(p)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)} \Big) \leq \log \Big(\frac{1}{2} \Big) = -1$$





$$= \frac{1}{2} \Big(\log(s'(p)) + \log(s'(g)) - 2\log(s'(x)) \Big)$$

$$\leq \log\Big(\frac{1}{2} \frac{s'(p)}{s'(x)} + \frac{1}{2} \frac{s'(g)}{s'(x)}\Big) \leq \log\Big(\frac{1}{2}\Big) = -1$$



7.3 Splay Trees

Amortized cost of the whole splay operation:

$$\leq 1 + 1 + \sum_{\text{steps } t} 3(r_t(x) - r_{t-1}(x))$$
$$= 2 + r(\text{root}) - r_0(x)$$
$$\leq \mathcal{O}(\log n)$$

